# FEDERAL PROVISIONS APPLICABLE WHEN SUBCONTRACTOR (EDUCATIONAL OR NONPROFIT ORGANIZATION) IS IN POSSESSION OF GOVERNMENT PROPERTY (SEPTEMBER 2009)

# GOVERNMENT SUBCONTRACT PROVISIONS INCORPORATED IN ALL SUBCONTRACTS/PURCHASE ORDERS (UNDER COST-TYPE PRIME COST REIMBURSABLE CONTRACTS)

Since this is a subcontract under a U.S. Government Prime Contract, awarded to the University of Hawaii, the Federal Acquisition Regulation (FAR), the Defense Federal Acquisition Regulations Supplement (DFARS) and other clauses and provisions listed below, if applicable, are hereby incorporated in this subcontract by reference with the same force and effect as if set forth in full text. The complete text for all of these clauses and provisions is available on the Internet at <a href="http://www.arnet.gov/far/">http://www.arnet.gov/far/</a> and <a href="http://www.acq.osd.mil/dpap/dfars/index.htm">http://www.acq.osd.mil/dpap/dfars/index.htm</a> or from the University of Hawaii Office of Procurement and Real Property Management. The Contractor hereby acknowledges possession of the FAR and DFARS or is otherwise familiar with all of the clauses and provisions incorporated herein by reference, and agrees to perform this subcontract. In the event of conflict between the terms and conditions of this section, and any other provisions of this subcontract, the terms and conditions of this section shall prevail. The terms "contractor/subrecipient," "Government," and "Contracting Officer," as used in the clauses incorporated by this reference, shall be deemed to refer to the "Seller," "Buyer or University of Hawaii," and the "University of Hawaii" (UH), respectively.

### THE FOLLOWING PROVISIONS APPLY:

## **Applies to all orders**

| FAR:<br>52.202-1<br>52.208-8<br>52.211-15 | Definitions Required Sources for Helium and Helium Usage Data Defense Priority and Allocation Requirements [Applies to rated orders certified for national defense use. In such event, Seller is required to follow all the provisions of the Defense Priorities and Allocations System regulation 15CFR700)] |
|---|---|
| 52-215-15                                 | Pension Adjustments and Asset Reversions  |
| 52-215-18                                 | Reversion or Adjustment of Plans for Postretirement Benefits (PRB) Other Than Pensions  |
| 52.215-19                                 | Notification of Ownership Changes   |
| 52.216-7                                  | Allowable Cost & Payment  |
|   | 31.3 (A-21) Applicable for Educational Institutions   |
|   | 31.4 (a122) Applicable for Nonprofits   |
| 52.216-8                                  | Fixed Fee   |
| 52.216-11                                 | Cost Contract No Fee  |
| 52.222-6                                  | Davis-Bacon Act   |
| 52.222-7                                  | Withholding of Funds  |
| 52.222-8                                  | Payrolls and Basic Records  |
| 52.222-9                                  | Apprentices and Trainees  |
| 52.222-10                                 | Compliance with Copeland Act Requirements   |
| 52.222-11                                 | Subcontracts (Labor Standards)  |
| 52.222-12                                 | Contract TerminationDebarment   |
| 52.222-13                                 | Compliance with Davis-Bacon and Related Act Regulations   |
| 52.222-14                                 | Disputes Concerning Labor Standards   |
| 52.222-15                                 | Certification of Eligibility  |
| 52.222-22                                 | Previous Contracts and Compliance Reports   |

| 52.222-41            | Service Contract Act of 1965, as Amended   |
|----------------------|--|
| 52.223-7             | Notice of Radioactive Materials  |
| 52.225-7             | Refund of Royalties  |
| 52.227-9             | Filing of Patent ApplicationsClassified Subject Matter   |
| 52.227-10            | Patent RightsRetention by the Contractor (Short Form)  |
| 32.221 <b>-</b> 11   | Applicable if:   |
|                      |  |
|                      | <ul><li>(i) The contractor is a small business concern or non-profit organization as<br/>defined in FAR 27.301</li></ul> |
|                      | (ii) No alternative patents rights clause is used in accordance with paragraph   |
|                      | (c) or (d) of FAR 27.303   |
| 52.227-13            | Patent RightsOwnership by the Government   |
| JZ.ZZ1-13            | (i) No alternative clause is used in accordance with paragraphs (c) (2) and (4)  |
|                      | or paragraph (d) of FAR 27.303   |
|                      | (ii) The work is to be performed outside the United States, its possessions, and   |
|                      | Puerto Rico by contractors that are not small business firms, nonprofit  |
|                      | organizations as defined in FAR 27.301, or domestic firms.   |
| 52.228-5             | InsuranceWork on a Government Installation   |
| 52.232-7             | Payments for Time & Material/Labor Hour Contracts  |
| 52.232-20            | Limitation of Cost   |
| 52.232-21            | Limitation of Cost (Facilities)  |
| 52.232-27            | Prompt Payment for Construction Contracts  |
| 52.234-1             | Industrial Resources Developed Under Defense Production Act Title III  |
| 52.236-13            | Accident Prevention  |
| 52.237-7             | Indemnification and Medical Liability Insurance  |
| 52.243-1             | Changes - Fixed-Price  |
| 52.243-2             | Changes - Cost-Reimbursement   |
| 52.243-3             | Changes - Time-and-Materials or Labor-Hours  |
| 52.243-4             | Changes  |
| 52.243-5             | Changes and Changed Conditions   |
| 52.243-6             | Change Order Accounting  |
| 52.243-7             | Notification of Changes  |
| 52.244-6             | Subcontracts for Commercial Items  |
| 52.245-1             | Government Property  |
| 52.245-8             | Liability for the Facilities   |
| 52.245-17            | Special Tooling  |
| 52.245-18            | Special Test Equipment   |
| 52.246-1             | Contractor Inspection Requirements   |
| 52.246-3             | Inspection of Supplies - Cost-Reimbursement  |
| 52.246-5             | Inspection of Services - Cost-Reimbursement  |
| 52.246-6             | Inspection - Time-and-Material and Labor-Hours   |
| 52.246-7             | Inspection of Research and Development - Fixed-Price   |
| 52.246-8<br>52.246-9 | Inspection of Research and Development - Cost-Reimbursement Inspection of Research and Development - (Short Form)        |
| 52.246-10            | Inspection of Facilities   |
| 52.246-13            | Inspection - Dismantling, Demolition, or Removal of Improvements   |
| 52.246-16            | Responsibility for Supplies  |
| 52.247-63            | Preference for U.SFlag Air Carriers  |
| 52.247-64            | Preference for Privately-Owned U.SFlag Commercial Vessels  |
| 52.249-11            | Termination of Work (Consolidated Facilities or Facilities Acquisition)  |
| JZ.Z 10 11           | 13   |
| DFARS:               |  |
| 245.505-14           | Reports of Government Property   |
|                      | <ul> <li>Subcontractor reports due to the prime contractor no later than two weeks</li> </ul>                            |
|                      | prior to the due date indicated in the above provision.  |
| 252.204-7000         | Disclosure of Information  |
| 252.208-7000         | Intent to Furnish Precious Metals as Government-Furnished Material   |
|                      |  |

| 252.217-7012 | Liability and Insurance   |
|--------------|---|
| 252.222-7000 | Restrictions on Employment of Personnel                                   |
| 252.223-7006 | Prohibition on Storage and Disposal of Toxic and Hazardous Materials      |
| 252.225-7009 | Duty-Free Entry-Qualifying Country Supplies (End Products and Components) |
| 252.225-7010 | Duty-Free Entry-Additional Provisions                                     |
| 252.225-7014 | Preference for Domestic Specialty Metals                                  |
| 252.225-7016 | Restriction on Acquisition of Ball and Roller Bearings                    |
| 252.225-7019 | Restriction on Acquisition of Foreign Anchor and Mooring Chain            |
| 252.225-7025 | Restriction on Acquisition of Forgings                                    |
| 252.225-7037 | Duty-Free EntryEligible End Products                                      |
| 252.227-7013 | Rights in Technical Data-Noncommercial Items                              |
| 252.227-7014 | Rights in Noncommercial Computer Software and Noncommercial Computer      |
|              | Software Documentation  |
| 252.227-7016 | Rights in Bid or Proposal Information                                     |
| 252.227-7018 | Rights in Noncommercial Technical Data and Computer SoftwareSmall         |
|              | Business Innovation Research (SBIR) Program                               |
| 252.227-7019 | Validation of Asserted RestrictionsComputer Software                      |
| 252.227-7033 | Rights in Shop Drawings   |
| 252.227-7034 | PatentsSubcontracts   |
| 252.227-7037 | Validation of Restrictive Markings on Technical Data                      |
| 252.227-7039 | Patents—Reporting of Subject Inventions                                   |
| 252.235-7000 | Indemnification under 10 U.S.C. 2354Fixed Price                           |
| 252.235-7001 | Indemnification under 10 U.S.C. 2354Cost Reimbursement                    |
| 252.235-7002 | Animal Welfare  |
| 252.235-7003 | Frequency Authorization   |
| 252.239-7016 | Telecommunications Security Equipment, Devices, Techniques and Services   |
| 252.244-7000 | Subcontracts for Commercial Items and Commercial Components               |
|              |   |
| NFS:         |   |
| 1852.245-73  | Financial Reporting of NASA Property in the Custody of Contractors        |
|              |   |

• Subcontractor reports due to the prime contractor no later than one week prior to the due date indicated in the above provision.

# Applies if order is over \$2,500

FAR:

52.225-13 Restrictions on Certain Foreign Purchases

# Applies if order is over \$10,000

| FAR:      |   |
|-----------|---|
| 52.222-21 | Prohibition of Segregated Facilities                        |
| 52.222-26 | Equal Opportunity   |
| 52.222-27 | Affirmative Action Compliance Requirements for Construction |
| 52.222-36 | Affirmative Action for Workers with Disabilities            |
| 52.225-8  | Duty-Free Entry   |

# Applies if order is over \$30,000

| FAR:     |  |
|----------|--|
| 52.209-5 | Certification Regarding Responsibility Matters                           |
| 52.209-6 | Protecting the Governments Interest When Subcontracting with Contractors |
|          | Debarred, Suspended, or Proposed for Debarment                           |

# Applies if order is over \$50,000

FAR:

52.248-3 Value Engineering—Construction

## Applies if order is over \$100,000

| FAR:          |   |
|---------------|---|
| 52.203-3      | Gratuities  |
| 52.203-6      | Restrictions on Subcontractor Sales to the Government                         |
| 52.203-7      | Anti-Kickback Procedures  |
| 52.203-11     | Certification and Disclosure Regarding Payments to Influence Certain Federal  |
|               | Transactions  |
| 52.203-12     | Limitation on Payments to Influence Certain Federal Transactions              |
| 52.215-2      | Audit and Records—Negotiation   |
| 52.215-14     | Integrity of Unit Prices  |
| 52.219-8      | Utilization of Small Business Concerns  |
| 52.222-4      | Contract Work Hours and Safety Standards Act—Overtime Compensation            |
| 52.222-35     | Equal Opportunity for Special Disabled Veterans, Veterans of the Vietnam Era, |
|               | and Other Eligible Veterans   |
| 52.222-37     | Employment Reports on Special Disabled Veterans, Veterans of the Vietnam      |
|               | Era, and Other Eligible Veterans  |
| 52.222-39     | Notification of Employee Rights Concerning Payment of Union Dues or Fees      |
| 52.223-14     | Toxic Chemical Release Reporting  |
| 52.227-1      | Authorization and Consent   |
| 52.227-2      | Notice and Assistance Regarding Patent and Copyright Infringement             |
| 52.246-2      | Inspection of Supplies - Fixed-Price  |
| 52.246-4      | Inspection of Services - Fixed-Price  |
| 52.246-12     | Inspection of Construction  |
| 52.248-1      | Value Engineering   |
| 52.249-3      | Termination for Convenience of the Government (Dismantling, Demolition, or    |
|               | Removal of Improvements)  |
| 52.249-5      | Termination for Convenience of the Government (Educational and Other          |
|               | Nonprofit Institutions)   |
| 52.249-10     | Default (Fixed-Price Construction)  |
| 52.249-12     | Termination (Personal Services)   |
| 52.249-13     | Failure to Perform  |
| 52.249-14     | Excusable Delays  |
| DFARS:        |   |
| 252.203-7001  | Prohibition on Persons Convicted of Fraud or Other Defense-Contract-Related   |
| 232.203-7001  | Felonies  |
| 252.209-7000  | Acquisition from Subcontractors Subject to On-Site Inspection Under the       |
| 202.200-1 000 | Intermediate-Range Nuclear Forces (INF) Treaty                                |
| 252.247-7023  | Transportation of Supplies by Sea   |
| 252.247-7023  | Notification of Transportation of Supplies by Sea                             |
| 252.249-7002  | Notification of Anticipated Contract Termination or Reduction                 |
| 202.270 1002  | realisation of randipated Contract Fernination of Readoution                  |

# Applies if order is over \$500,000

FAR:

52.230-5 Cost Accounting Standards--Educational Institution

**DFARS**:

252.225-7026 Reporting of Contract Performance Outside the United States

## Applies if order is over \$550,000

FAR:

52.219-9 Small Business Subcontracting Plan

## Applies if order is over \$650,000

| FAR:      |  |
|-----------|--|
| 52.214-26 | Audit and Records—Sealed Bidding                                   |
| 52.214-28 | Subcontractor Cost or Pricing Data—Modifications—Sealed Bidding    |
| 52.215-10 | Price Reduction for Defective Cost or Pricing Data                 |
| 52.215-11 | Price Reduction for Defective Cost or Pricing Data - Modifications |
| 52.215-12 | Subcontractor Cost or Pricing Data                                 |
| 52.215-13 | Subcontractor Cost or Pricing Data - Modifications                 |
|           |  |

## Applies if order is over \$1,000,000

**DFARS:** 

252.211-7000 Acquisition Streamlining

252.225-7032 Waiver of United Kingdom Levies

COST PRINCIPLES AND ADMINISTRATIVE REQUIREMENTS APPLICABLE TO SUBCONTRACTOR AGREEMENTS NOT COVERED BY FAR:

Subcontractor shall comply with cost principles of OMB Circular A-21, "Cost Principles for Educational Institutions," OMB Circular A-87, "Cost Principles for State and Local Governments," or OMB Circular A-122, "Cost Principles for Non-Profit Organizations."

AUDIT REPORT AND OTHER CLAUSES FOR SUBCONTRACTOR AGREEMENTS:

#### **AUDIT REPORTS**

Subcontractor shall comply with the requirements of OMB Circular A-133. Subcontractor further agrees to provide awarding institution with copies of any of the independent auditors' reports which present instances of non-compliance with federal laws and regulations which bear directly on the performance or administration of this Agreement. In cases of such non-compliance, subcontractor will provide copies of responses to auditors' reports and a plan for corrective action. All records and reports prepared in accord with the requirements of OMB Circular A-133 shall be available for inspection by representatives of awarding institutions or the government during normal business hours.

### **AUDIT COSTS**

Subcontractor agrees that it shall be solely responsible for all costs to conduct any independent audit as required by OMB Circular A-133 for assuring compliance under this subaward.

### **DISALLOWANCES**

Subcontractor agrees that it shall be solely responsible for reimbursing the University for all costs which may be disallowed as a result of non-compliance with any applicable laws, rules or regulations.